Case No. 9683/65 TRANSMITTAL LETTER BASSARI No. Filing Date Group Art Unit Examiner 3628 09/508,496 March 8, 2000 CHENCINSKI, Siegfried E. Inventor(s) Masaaki YAMAMOTO, Katsumi SEKIGUCHI, Masaharu NAKATSUCHI, Norihito SEKIZAKI Title of Invention PUSH-TYPE INFORMATION TRANSMISSION METHOD AND TRANSFER DEVICE THEREOF

#### TO THE COMMISSIONER FOR PATENTS

			10 1112 001	VIIVIIOOIOI VIETO I	JIX 1 7	ATENTO					
Transmitted herewith is <u>Fifth Supplemental Information Disclosure Statement (in dup.)</u> , Copy of EP Search Report, Form PTO-1449, 1 Cited Reference and return postcard.											
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.										
	Applicant claims small entity status. See 37 CFR1.27.										
	Petition for a	month e	extension of time.								
$\boxtimes$	No additional fe	e is required									
		•	as shown below:	•							
	THE ICC HAS BOO		as shown below.						Other	Than	
			T		_	Small	Entity	i	Small	Entity	
	Claims Remaining After Amendment		Highest No. Previously Pald For	Present Extra		Rate	Add'l Fee	or	Rate	Add'I Fee	
Total		Minus		ļ	L	x \$9=			x \$18=		
Indep.		Minus		•	L	x 42=			x \$84=		
First Pre	sentation of Multip	ole Dep. Clai	m			+\$140=			+ \$280=		
						Total add'l fee	\$		Total add'l fee	\$	
	Please charge [copy of this she	•	ount No. 23-1925 (E d.	BRINKS HOFER	GILS	SON & LION	E) in the amo	ount o	of \$ A	duplicate	
	A check in the a	mount of \$_	to cover the fi	iling fee is enclos	ed.						
	any patent app	olication pro	y authorized to char cessing fees unde ount No. 23-1925.	er 37 CFR § 1	.17 a	associated v	with this co				
		any associat	CFR § 1.136(a) for ted fees which hav d.								
			-	Respectfully	subm	itted,					
				1 7		611	_ •				
	Tadashi Horie										
	Registration No. 40,437 Attorney for Applicant Customer No. 00757 - Brinks Hofer Gilson Lione										
	HOFER GILSON	& LIÓNE		Customer NO	. 007	or - billiks f	iolei Giisofi	LIUII	5		
P.O. BO	X 10395 O, ILLINOIS 6061	10					D		<b>\_</b> ::		
(312) 32							$\Pi$		JEIVE	ED"	
I hereby cer first class m	rtify that this correspond rail, with sufficient posta	lence is being de ge, in an envelor	posited with the United Spe addressed to:	itates Postal Service as	5			SEP	CEIVE		

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 10, 2003.

Toulah House

SEP 1 5 2003 GROUP 3600

Date: September 10, 2003

TRANSMITTAL LETTER

Serial No. 9683/65

Serial No. 9683/65

Serial No. 9683/65

Serial No. 9683/65

Filing Date Examiner CHENCINSKI, Siegfried E. 3628

Inventor(s)

Masaaki YAMAMOTO, Katsumi SEKIGUCHI, Masaharu NAKATSUCHI, Norihito SEKIZAKI

Title of Invention

PUSH-TYPE INFORMATION TRANSMISSION METHOD AND TRANSFER DEVICE THEREOF

#### TO THE COMMISSIONER FOR PATENTS

			TO THE COM	IMISSIONER F	UK	PATENTS					
PTO-144	Transmitted her 9, 1 Cited Refere		Supplemental Info	mation Disclos	ure	Statement (in	dup.), Copy	of EF	Search Repo	ort, Form	
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.										
	Applicant claims small entity status. See 37 CFR1.27.										
	Petition for amonth extension of time.										
$\boxtimes$	No additional fee is required.										
	The fee has bee	en calculated	as shown below:								
						Small	Entity		Other Small (		
	Claims Remaining After Amendment		Highest No. Previously Pald For	Present Extra		Rate	Add'I Fee	or	Rate	Add'l Fee	
Total	·	Minus				x \$9=			x \$18=		
Indep.		Minus				x 42=			x \$84=		
First Pres	First Presentation of Multiple Dep. Claim					+\$140=			+ \$280=		
						Total add'l fee	\$		Total add'l fee	\$	
	Please charge [copy of this sheet	•	ount No. 23-1925 (B d.	RINKS HOFER	GIL	SON & LION	IE) in the am	ount (	of \$ A	duplicate	
	A check in the a	mount of \$_	to cover the fili	ing fee is enclos	sed.						
	any patent app	olication pro	authorized to charge cessing fees unde ount No. 23-1925.	r 37 CFR §	1.17	associated	with this co		•		
	I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.										
	Respectfully submitted,										
	\ Tob[ 11 .										
				Tadashi Hor	ie	WAD	س			_	
				Registration Attorney for							
מאואפם	HOFER GILSON	8 LIÓNE				757 - Brinks	Hofer Gilson	Lion	е		
P.O. BOX	(10395										
(312) 321	D, ILLINOIS 6061 -4200	10	•				H	ľΕ	CEIV	ED	

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 10, 2003.

SEP 1 5 2003

**GROUP 3600** 

Date: September 10, 2003 Signature:

2 2000 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 10, 2003

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or
Registered Representative

Signature

September 10, 2003

Date of Signature

Our Case No.: 9683/65

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masaaki YAMAMOTO Katsumi SEKIGUCHI Masaharu NAKATSUCHI Norihito SEKIZAKI

Serial No.: 09/508,496

Filing Date: March 8, 2000

For: PUSH-TYPE INFORMATION

TRANSMISSION METHOD AND TRANSFER DEVICE THEREOF

Examiner: CHENCINSKI, Siegfried E.

Group Art Unit No.: 3628

RECEIVED

SEP 1 5 2003

**GROUP 3600** 

### FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Fifth Supplemental Information Disclosure Statement be entered and

the document listed on the attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

This Statement is filed in accordance with 37 C.F.R.§1.97(c)(1) after the mailing date of a first action on the merits, but before the mailing date of either a Final Action or a Notice of Allowance. Also, the document listed on the attached Form PTO-1449 is a prior art reference that was cited in a EP Search Report in the counterpart EPO application. The Search Report, a copy of which is attached to this Statement, was issued on August 21, 2003. In accordance with 37 C.F.R. § 1.97(e)(1), Applicants hereby certify that each item of the information disclosed in this Fifth Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement.

Therefore, no fees are believed to be due in connection with filing of this Fifth Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(g),(h), this Fifth Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Tadashi Horie

Registration No. 40,437 Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 10, 2003

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or Registered Representative

Signature

September 10, 2003

Date of Signature

Our Case No.: 9683/65

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masaaki YAMAMOTO Katsumi SEKIGUCHI Masaharu NAKATSUCHI Norihito SEKIZAKI

Serial No.: 09/508,496

Filing Date: March 8, 2000

For: PUSH-TYPE INFORMATION

TRANSMISSION METHOD AND TRANSFER DEVICE THEREOF

Examiner: CHENCINSKI, Siegfried E.

Group Art Unit No.: 3628

FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450 RECEIVED

SEP 1 5 2003

Dear Sir:

**GROUP 3600** 

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Fifth Supplemental Information Disclosure Statement be entered and

the document listed on the attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

This Statement is filed in accordance with 37 C.F.R.§1.97(c)(1) after the mailing date of a first action on the merits, but before the mailing date of either a Final Action or a Notice of Allowance. Also, the document listed on the attached Form PTO-1449 is a prior art reference that was cited in a EP Search Report in the counterpart EPO application. The Search Report, a copy of which is attached to this Statement, was issued on August 21, 2003. In accordance with 37 C.F.R. § 1.97(e)(1), Applicants hereby certify that each item of the information disclosed in this Fifth Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement.

Therefore, no fees are believed to be due in connection with filing of this Fifth Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(g),(h), this Fifth Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Tadashi Horie

Registration No. 40,437 Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200



P.B.5818 - Patentlaan 2 2280 HV Rijswijk (ZH) +31 70 340 2040 31651 epo ni FAX +31 70 340 3016

Europäisches Patentamt

Zweigstelle in Den Haag Recherchenabteilung

European **Patent Office** 

Branch at The Hague Search division

Office européen des brevets

Département à La Haye Division de la

**zicherche** 

HOFFMANN - EITLE Patent- und Rechtsanwälte Arabellastrasse 4 81925 München

EINGEGANGEN

2 C. Aug. 2003

HOFFMANN . EITLE, MUNCHEN PATENTANWÄLTE RECHTSANNÄLTE Datum/Date 21.08.03

Zeichen/Ref./Réf.

**ALLEMAGNE** 

98 384 a/scho

Anmeldung Nr./Application No./Demande n°./Patent Nr./Patent No./Brevet n°.

03010047.3-2416-

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire NTT MOBILE COMMUNICATIONS NETWORK INC.

### COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

**X** abstract

X title

The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

5

RECEIVED
SEP 1 5 2003
GROUP 3600

## REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.



## **EUROPEAN SEARCH REPORT**

Application Number EP 03 01 0047

•	DOCUMENTS CONSID	ERED TO BE I	RELEVANT		
Category	Citation of document with i of relevant pass		ropriate,	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
X	WO 97 10558 A (BELL 20 March 1997 (1997 * abstract * * page 2, line 16 - * page 3, line 7 - * page 5, line 23 - * page 13, line 18 * claims 1-3,11-13 * figure 1 *	'-03-20) - line 19 * line 31 * - page 8, lin - line 25 *	·	1-5,8,9, 12-19	H04L12/58 H04L12/56 G06F13/00 H04L12/18 H04L12/28
X	EP 0 798 899 A (NEC 1 October 1997 (199 * abstract * * column 1, line 50 * column 3, line 51 * figures 1,2 *	97-10-01) 9 - column 3,		1-5,8,9, 12-16	
X	EP 0 779 759 A (UNW 18 June 1997 (1997- * abstract * * page 3, line 19 - * page 7, line 58 - * page 9, line 19 - * page 12, line 22	·06-18) · line 39 * · page 8, line · line 25 *	e 4 *	17–19	TECHNICAL FIELDS SEARCHED (Int.CI.7)
A	* figures 4,12 *			6,7,10, 11	
	HOFMANN P ET AL: " TELEPHONY AND INTER PROCEEDINGS. IEEE C FOR MULTIMEDIA SYST NETWORKING, 1997, XP000770864 * abstract * * paragraph '0001! * paragraph '0005! * figure 1 *	NET" ONFERENCE ON EMS.MULTIMED  - paragraph	PROTOCOLS IA	6,7,10, 11	
	The present search report has	been drawn up for all	claims		
	Place of search		pletion of the search		Examiner
	MUNICH	5 Augi	ust 2003	Pog	gio, F
X : partic Y : partic docui A : techr O : non-	NTEGORY OF CITED DOCUMENTS cularly relevant if taken alone cularly relevant if combined with anotement of the same category nological background written disclosure nediate document		T: theory or principle E: earlier patent doc after the filing date D: document cited in L: document cited fo &: member of the sa document	ument, but publise the application r other reasons	shed on, or

# ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 03 01 0047

This annex lists the patent family members relating to the patent documents cited in the above–mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

05-08-2003

	Patent docume cited in search re		Publication date		Patent fam member(s		Publication date
WO	9710558	Α	20-03-1997	WO	9710558	A1	20-03-1997
ΕP	0798899	A	01-10-1997	EP	0798899	A1	01-10-1997
				NL	1004167	C1	23-10-1996
ΕP	0779759	Α	18-06-1997	US	5809415	A	15-09-1998
				US	5911485	Α	15-06-1999
				ΕP	0779759	A2	18-06-1997
				JP	10011383	Α	16-01-1998
				JP	2000231530	Α	22-08-2000
				US	6119155	Α	12-09-2000
				US	2001014615	A1	16-08-2001
				US	2002160790	A1	31-10-2002
				US	6473609	<b>B</b> 1	29-10-2002
				US	6405037	B1	11-06-2002
				US	2002039899	A1	04-04-2002
				US	6430409	B1	06-08-2002
				US	6150962	Α	21-11-2000
				US	6473006	B1	29-10-2002

<b>B</b> . <b>Q</b> .	•	<u> </u>
FORM PTO-1449	SERIAL NO.	CASE NO.
FORM PTO-1449	09/508,496	9683/65
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	March 8, 2000	3628
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): Masaaki Yama	moto et al.

### REFERENCE DESIGNATION

### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	NUMBER DATE		CLASS/ SUBCLASS	FILING DATE	
	F						
	F						
	F						
	F						
	F						
	F			· · · · · · · · · · · · · · · · · · ·	·		
	F						
	F						
	F					_	
	F					-	

### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	F1	EP 0 779 759 A	06/18/1997	EPO		YES
	F					
	F					
	F					
	F	•				

EXAMINER INITIAL	OTHER ART — NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.							
	F	CEIVED						
	F	REULIVE						
	F	1 5 2003						
·	F	SEP I 3 2000						
	F	2600						
	F	CB()UP 3000						

EXAMINER	 	DATE CONSIDERED		
	•			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99 Document1